



**AmInvest**

**AmFunds Management Berhad** 198601005272 (154432-A)  
**AmIslamic Funds Management Sdn. Bhd.** 200801029135 (830464-T)  
Level 9 & 10, Bangunan AmBank Group,  
55 Jalan Raja Chulan, 50200 Kuala Lumpur, Malaysia.  
Tel: 03-20322888 Fax: 03-20262821

## Transaction Form

The application form is to accompany the relevant prospectus / information memorandum or replacement prospectus / information memorandum and its supplementary(ies) (if any) that has been registered or lodged with the Securities Commission Malaysia. In compliance with the Capital Markets and Services Act 2007, this application form must be circulated with the relevant prospectus / information memorandum or replacement prospectus / information memorandum and its supplementary(ies) (if any).

### A PARTICULARS OF APPLICANT(S) / CORPORATION & OTHERS

Name of Principal Applicant

Name of Joint Applicant

(i) NRIC/Passport No.  (ii)

(ii) Company Registration No. :

Correspondence Address

Telephone No

**\*\* New**       **Additional Investment**       **Redemption**       **Cooling-off**       **Switching**  
(For 'NEW' - fill up Section F)

### B. INVESTMENT DETAILS

| Name of Fund | Account No. | Sales Charge | Amount Invested | Cheque / Bank Transfer Details |
|--------------|-------------|--------------|-----------------|--------------------------------|
|              |             |              |                 |                                |
|              |             |              |                 |                                |
|              |             |              |                 |                                |
|              |             |              |                 |                                |

### C. REDEMPTION DETAILS

| Name of Fund | Account No. | Unit to be Redeemed |
|--------------|-------------|---------------------|
|              |             |                     |
|              |             |                     |
|              |             |                     |
|              |             |                     |

### D. REDEMPTION PAYMENT INSTRUCTION

Please credit into my/our bank account, details as below:

Bank Name : \_\_\_\_\_

Account No. : \_\_\_\_\_

Account Holder Name : \_\_\_\_\_

### E. FUND SWITCHING

| Name of Funds to Switch from | Account No. | Units Switch | Name of Fund to Switch to | Account No. (if any) |
|------------------------------|-------------|--------------|---------------------------|----------------------|
|                              |             |              |                           |                      |
|                              |             |              |                           |                      |
|                              |             |              |                           |                      |
|                              |             |              |                           |                      |

### \*\* F. INCOME DISTRIBUTION

Please select one :

Reinvest

Via Bank Transfer

Bank Name : \_\_\_\_\_

Account No. : \_\_\_\_\_

Account Holder Name : \_\_\_\_\_

Note: If you do not tick the box, your income distribution will be automatically reinvested.

For further details of income distribution, please refer to the relevant prospectus / information memorandum or replacement prospectus / information memorandum and its supplementary(ies) (if any).

## INVESTOR'S DECLARATION

I/We acknowledge that neither AmFunds Management Berhad/Amlslamic Funds Management Sdn. Bhd. nor any other member company of the AmBank Group guarantees any particular returns for the investment.

I/We acknowledge receipt and declare that I/we have read and understood the latest contents of the relevant disclosure document and / or the replacement and its supplementary(ies) (if any) before completing and signing this Transaction Form. I/We agree to abide by the terms and conditions in this Transaction Form.

At my/our discretion, I/we have decided to invest in the fund(s) selected on this date. I/We have been informed and hereby fully understand the associated products risks and returns, and the fund(s) selected above may be of higher risk than my/our profiled risk-taking ability.

I/We hereby acknowledge that I/we shall keep AmFunds Management Berhad/Amlslamic Funds Management Sdn. Bhd. informed of any changes to my/our particulars as stated.

I/We hereby agree to be bound by the provision of the deeds, supplemental deeds, relevant disclosure document and / or the replacement and its supplementary(ies) (if any), Transaction Form and any facility offered by AmFunds Management Berhad/Amlslamic Funds Management Sdn. Bhd. on becoming a Unit Holder.

I/We hereby acknowledge that AmFunds Management Berhad/Amlslamic Funds Management Sdn. Bhd. reserves the right to accept or reject any application in whole or in part thereof without assigning reasons, without compensation to the Applicant and the duly completed Application Form/transaction form/instruction received by AmFunds Management Berhad/Amlslamic Funds Management Sdn. Bhd. are deemed irrevocable.

Notwithstanding anything contained herein, AmFunds Management Berhad/Amlslamic Funds Management Sdn. Bhd. shall be at liberty to disregard or refuse to process any instruction it received via the transaction form, if the processing of such instructions would be in contravention of any laws or regulatory requirements, whether or not having the force of law and/or would expose AmFunds Management Berhad/Amlslamic Funds Management Sdn. Bhd. to any liability.

I/We hereby acknowledge that AmFunds Management Berhad/Amlslamic Funds Management Sdn. Bhd. reserves the right to terminate any relationship without assigning reasons in respect thereof provided a reasonable timeline is agreed between both parties and prior written notice is received by me/us.

I/We hereby acknowledge that AmFunds Management Berhad/Amlslamic Funds Management Sdn. Bhd. reserves the right to decide and make any changes to the Term and Conditions that may be prejudicial to me/us, as an investor.

I/We hereby acknowledge the fee(s) and charge(s) that I/we will incur directly or indirectly by investing into the fund(s) as provided in the relevant disclosure document and / or the replacement and its supplementary(ies) (if any).

I/We agree that all fees, charges and expenses disclosed in the relevant disclosure document and / or the replacement / supplementary documents (if any) are expressed exclusive of any taxes including but not limited to sales and services tax, value added tax, consumption tax, indirect tax, service tax levies, duties or any other taxes as may be imposed by the Government of Malaysia from time to time (collectively known as "Taxes"). Accordingly, to the extent that services provided are subject to any Taxes, the amount of Taxes payable on any related fees, charges and/or expenses will be payable by me/us and/or the Fund (as the case may be) in addition to the fees, charges and expenses disclosed in the disclosure document and / or the replacement / supplementary documents (if any).

I/We hereby undertake to fully, unconditionally and irrevocably indemnify AmFunds Management Berhad/Amlslamic Funds Management Sdn. Bhd. and keep AmFunds Management Berhad/Amlslamic Funds Management Sdn. Bhd. indemnified at all times from and against all actions, proceedings, claims, demands, losses, penalties, fines, damages, costs, charges and/or expenses which AmFunds Management Berhad/Amlslamic Funds Management Sdn. Bhd. may sustain, incur or be liable to, in consequence of, attributable to or arising from, any breach caused by me/us including any costs reasonably and necessarily incurred by AmFunds Management Berhad/Amlslamic Funds Management Sdn. Bhd. in collecting any debts due to AmFunds Management Berhad/Amlslamic Funds Management Sdn. Bhd. or in connection with the closure of my/our account(s), or any appointment made by me/us and/or any request or authorisation given by me/us, and/or any false declaration(s) I/we made herein howsoever arising.

This right of indemnity shall continue in full effect notwithstanding the suspension, termination or closure of my/our account(s) with AmFunds Management Berhad/Amlslamic Funds Management Sdn. Bhd.. Neither AmFunds Management Berhad/Amlslamic Funds Management Sdn. Bhd. or its subsidiary, associated, or related companies of AmFunds Management Berhad/Amlslamic Funds Management Sdn. Bhd.'s holding company nor any of its agents shall be liable for any direct, indirect, special or consequential damages which I/we may have suffered such as, but not limited to, loss of anticipated profits or other anticipated economic benefits, whatsoever or howsoever caused, whether in contract or in tort, arising directly or indirectly with or arising out of this Declaration, and/or the terms and conditions herein. I/We further undertake to indemnify AmFunds Management Berhad/Amlslamic Funds Management Sdn. Bhd. from being liable in any proceedings (whether civil, criminal or otherwise) arising from any breach caused by me/us pursuant to the terms and conditions and/or the Declaration stated herein.

### **Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standard (CRS)**

I/We hereby give my/our consent to AmFunds Management Berhad/Amlslamic Funds Management Sdn Bhd to report the corporation's information to regulatory or tax authorities in accordance with requirements of FATCA and CRS as may be stipulated by applicable laws, regulations, agreement or regulatory guidelines or directives.

### **Anti-Money Laundering, Anti-Terrorism Financing and Proceeds of Unlawful Activities Act 2001 (AMLATFPUAA)**

I/We agree that I/we shall at all times adhere to and comply with the Anti-Money Laundering, Anti-Terrorism Financing and Proceeds of Unlawful Activities Act 2001 (AMLATFPUAA) with respect to all transactions or matters whatsoever and however arising whether directly or indirectly with AmFunds Management Berhad/Amlslamic Funds Management Sdn Bhd, and in particular:

- AmFunds Management Berhad/Amlslamic Funds Management Sdn Bhd shall be entitled to keep records of all my/our transactions and to further disclose any transaction pertaining to me/us, as may be required by law or any government or regulatory authority for purposes of compliance with AMLATFPUAA;
- Undertake to provide AmFunds Management Berhad/Amlslamic Funds Management Sdn Bhd with all relevant information and documents, as and when requested, for purposes of identification of the customer and verification of the source of funds under the "Know-Your-Customer" principle; and/
- I/We further confirm that all monies paid and to be paid to AmFunds Management Berhad/Amlslamic Funds Management Sdn Bhd come from lawful source and not from unlawful activities.

### **Privacy Notice & Disclosure of Information**

We have been advised to read AmFunds Management Berhad's/Amlslamic Funds Management Sdn Bhd's latest/updated Privacy Notice available at [www.aminvest.com](http://www.aminvest.com) and AmBank Group Privacy Notice which is available at AmBank Group website at [www.ambankgroup.com](http://www.ambankgroup.com) and the Bank branches. I/We acknowledged that such Privacy Notice may be revised from time to time and if there is/are any revision(s), it will be posted on AmFunds Management Berhad/Amlslamic Funds Management Sdn Bhd website and/or other means of communication deemed suitable by Amfunds Management Berhad/Amlslamic Funds Management Sdn Bhd.

I/We have read and understood the AmFunds Management Berhad's/Amlslamic Funds Management Sdn Bhd's Privacy Notice, which is available at [www.aminvest.com](http://www.aminvest.com) and AmFunds Management Berhad's/Amlslamic Funds Management Sdn Bhd's office and AmBank Group Privacy Notice which is available at AmBank Group website at [www.ambankgroup.com](http://www.ambankgroup.com) and the Bank branches and give my/our consent(s) for AmFunds Management Berhad/Amlslamic Funds Management Sdn Bhd to process my/our personal data and sensitive data for the intended usage as stated under the Privacy Notice. I/We, hereby, also confirm that this declaration will prevail over any previous declarations made by me/us.

I/We understand that AmFunds Management Berhad/Amlslamic Funds Management Sdn Bhd may disclose my/our personal information and/or any information relating to my/our AmFunds Management Berhad's/Amlslamic Funds Management Sdn Bhd's account to AMMB Holdings Berhad and its related group of companies including its direct and indirect subsidiaries for marketing and promotion of products and services. If I/We decide later not to receive marketing information from AMMB Holdings Berhad and its related group of companies including its direct and indirect subsidiaries, I/We will write in to 9th Floor, Bangunan AmBank Group, 55, Jalan Raja Chulan, 50200 Kuala Lumpur to inform AmFunds Management Berhad/Amlslamic Funds Management Sdn Bhd.

I/We irrevocably authorize and give consent to the AmFunds Management Berhad/Amlslamic Funds Management Sdn Bhd to carry out credit history/credit standing/credit worthiness checks or verification deems necessary by the AmFunds Management Berhad/Amlslamic Funds Management Sdn Bhd on me/us as the investor/s, our directors, shareholders and partners and related corporations from sources recognised under the Credit Reporting Agencies Act 2010, other such sources including but not limited to from the Central Credit Reference Information System (CCRIS) and CTOS Data Systems Sdn Bhd. and/or any other credit reference agencies whether or not established or approved by Bank Negara Malaysia or any other governmental or regulatory authority/body.

**Unit Trust Loan Financing Statement**

Investing in a Unit Trust Fund with Borrowed Money Is More Risky than Investing with Your Own Savings. You should assess if loan financing is suitable for you in light of your objectives, attitude to risk and financial circumstances. You should be aware of the risks, which would include the following:

1. The higher the margin of financing (that is, the amount of money you borrow for every Ringgit of your own money which you put in as deposit or down payment), the greater the loss or gain on your investment.
2. You should assess whether you have the ability to service the repayments on the proposed loan. If your loan is a variable rate loan, and if interest rates rise, your total repayment amount will be increased.
3. If unit prices fall beyond a certain level, you may be asked to provide additional acceptable collateral (where units are used as collateral) or pay additional amounts on top of your normal instalments. If you fail to comply within the time prescribed, your units may be sold towards the settlement of your loan.
4. Returns on unit trusts are not guaranteed and may not be earned evenly over time. This means that there may be some years where returns are high and other years where losses are experienced. Whether you eventually realise a gain or loss may be affected by the timing of the sale of your units. The value of units may fall just when you want your money back even though the investment may have done well in the past.

This brief statement cannot disclose all the risks and other aspects of loan financing. You should therefore study the terms and conditions before you decide to take the loan. If you have doubts in respect of any aspect of this Risk Disclosure Statement or the terms of the loan financing, you should consult the institution offering the loan.

I/We have read, understood and accepted the unit trust Loan Financing Risk Disclosure Statement and I/We have received a copy of the same (if applicable).

**Anti-bribery And Corruption**

We/I hereby acknowledge that AmBank Group and its group of companies have zero tolerance towards all forms of bribery and corruption. We/I undertake that we/I shall strictly comply with the current laws and regulations in Malaysia relating to anti-bribery or anti-corruption including but not limited to the Malaysian Anti-Corruption Commission Act 2009 including all its amendments acts ("MACCA") and shall have in place the necessary adequate procedures for meeting the standards and requirements in accordance with the MACCA.

We/I shall:-

- (i) ensure that business is conducted in compliance with applicable anti-corruption laws; and
- (ii) maintain policies and/or procedures designed to promote and achieve compliance with such laws

We/I also hereby acknowledge that if at any time, we/I and/or our directors, employees, subcontractors, agents or other third parties acting for or representing us, are involved in transaction(s) or matter(s) which breach this clause and/or are being investigated for bribery or corruption, then AmFunds Management Berhad/Amlslamic Funds Management Sdn Bhd shall be entitled to terminate this transaction with immediate effect without any liability, with written notice, to us. When this happens, we/I shall be liable to fully indemnify AmFunds Management Berhad/Amlslamic Funds Management Sdn Bhd against, and hold AmFunds Management Berhad/Amlslamic Funds Management Sdn Bhd harmless from, any and all claims, losses, liabilities, damages, penalties, costs and expenses howsoever arising as a result of such breach.

**AUTHORISED SIGNATORIES AS PER TRANSACTION FORM**

I/We have read and understood the investor's declaration statements stipulated above.

- I hereby acknowledge that there is no material change to the information provided in my previous suitability assessment.
- I would like to re-assess as there may be material change to the information provided in my previous suitability assessment.

Important: Joint Account must be signed by ALL parties unless indicated otherwise in Trasaction Form. For Corporation, to be signed by authorised signatories & to affix company's rubber stamp.

**Authorised Signatory with Company Rubber Stamp**

Name of Authorised Signatory / Principal Applicant  
(as per National Registration Identity Card (NRIC) / Passport)

Date :

**Authorised Signatory with Company Rubber Stamp**

Signature of Joint Applicant  
Name of Authorised Signatory / Joint Applicant  
(as per National Registration Identity Card (NRIC) / Passport)

Date :

**FOR DISTIBUTOR / AUTHORIZED UT CONSULTANT USE ONLY**

| Consultant / Code / Name / Date | Verified / Approved By Name / Date |
|---------------------------------|------------------------------------|
|                                 |                                    |

**FOR AMFUNDS MANAGEMENT BERHAD USE ONLY**

| Original Form Received /Date/Initial | Input By / Date | Checked By / Date |
|--------------------------------------|-----------------|-------------------|
|                                      |                 |                   |

\* Please provide a copy of bank passbook.  
\*\* New fund(s) for existing Unit Holder(s)

AmFunds Management Berhad's copy