



AmInvest

AmFunds Management Berhad (154432-A)
AmIslamic Funds Management Sdn Bhd (830464-T)
Level 9 & 10, Bangunan AmBank Group, 55 Jalan Raja Chulan,
P.O. Box 13611, 50816 Kuala Lumpur
Tel: 03-20322888 Fax: 03-20315210

Please tick whichever applicable

AmFunds Management Berhad

AmIslamic Funds Management Sdn Bhd

No. AOC

ACCOUNT OPENING FORM - CORPORATE

The application form is to accompany the relevant prospectus / information memorandum or replacement prospectus / information memorandum and its supplementary(ies) (if any) that has been registered or lodged with the Securities Commission Malaysia. In compliance with the Capital Markets and Services Act 2007, this application form must be circulated with the relevant prospectus / information memorandum or replacement prospectus / information memorandum and its supplementary(ies) (if any).

A. PARTICULARS OF APPLICANT

*1. Type of Account ** On behalf of Beneficial Owner * Own account

*2. Name of Corporation (as per Registration Certificate) _____

*3. Registration of Certificate No. _____

*4. Date of Incorporation / Registration _____

*5. Country of Registration / Incorporation _____

*6. Status of Residency Malaysian Resident
 Non-Malaysian Resident. Please specify country of resident : _____

*7. Registered Address _____

 Postcode _____ Country _____

*8. Principal place of Business (If different from registered address) _____

 Postcode _____ Country _____

*9. Correspondence Address (If different from registered address) _____

 Postcode _____ Country _____

*10. Type of Corporation Private Limited Sole Proprietorship
 Public Limited Public Listed Corporation
 Partnership Non-Profit Organization
 Co-Operative Other, please specify : _____

*11. Nature of Business _____

*12. Status of Incorporation Malaysian Bumiputera Controlled Malaysian Non-Bumiputera Controlled
 Non-Malaysian Controlled Government Controlled

*13. Source of Funds Disposal of non-core business / Asset / Investments
 Fund raising exercise such as right issue / Public offering
 Cash in hand / Surplus fund / Working capital
 Other, Please specify : _____

*14. Source of Wealth Investment Business
 Other, Please specify : _____

*15. Contact Person _____

*16. Designation _____

*17. Telephone No. (Office) _____ Facsimile _____
 Mobile phone _____ Email Address _____

*18. Purpose of Transaction _____

* Please fill in all mandatory items
** If this box is tick, please provide all details as per item B or C

B. PARTICULARS OF BENEFICIAL OWNER (INSTITUTION) (IF APPLICABLE)

*1. Name of Beneficial Owner (as per Registration Certificate) _____

*2. Registration Certificate No. _____

*3. Date of Incorporation / Registration _____

*4. Country of Registration / Incorporation _____

*5. Status of Residency
 Malaysian Resident
 Non-Malaysian Resident. Please specify country of resident: _____

*6. Registered Address _____

 Postcode _____ Country _____

*7. Principal Place of Business (If different from registered address) _____

 Postcode _____ Country _____

*8. Correspondence Address (If different from registered address) _____

 Postcode _____ Country _____

*9. Type of Corporation
 Private Limited Sole Proprietorship
 Public Limited Public Listed Corporation
 Partnership Non-profit Organization
 Co-Operative Other, please specify : _____

*10. Source of Funds
 Disposal of non-core business / Asset / Investments
 Fund raising exercise such as right issue / Public offering
 Cash in hand / Surplus fund / Working capital
 Other, Please specify : _____

*11. Source of Wealth
 Investment Business
 Other, please specify : _____

*12. Nature of Business _____

*13. Status of Incorporation
 Malaysian Bumiputera Controlled Malaysian Non-Bumiputera Controlled
 Non-Malaysian Controlled Government Controlled

*14. Contact Person _____

*15. Designation _____

*16. Telephone No. (Office) _____ Facsimile _____
 Mobile phone _____ Email Address _____

*17. Purpose of Transaction _____

*18. Relationship to Principal Applicant _____

* Please fill in all mandatory items

C. PARTICULARS OF BENEFICIAL OWNER (INDIVIDUAL) (IF APPLICABLE)

*1. Name of Beneficiary Owner (as per National Registration Identity Card (NRIC) /

*2. New NRIC No. / Passport No.

*3. Old NRIC No.

*4. Date of Birth

*5. Residential Address

Postcode Country

*6. Correspondences Address (If different from residential address)

Postcode Country

*7. Telephone No. (office) Facsimile

Telephone No. (house) Mobile Phone

Email Address

*8. Nationality Malaysian Foreigner
Country of Origin

*9. Status of Residency Malaysian Resident Permanent Resident
 Non-Malaysian resident. Please specify country of residency

*10. Race Bumiputera Chinese Indian Others

*11. Religion

*12. Marital Status Single Married Others

*13. Sex Male Female

*14. Self-Employed Yes No

*15. Occupation

*16. Name of Employer

*17. Nature of Business

*18. Source of Funds Savings Investment Proceeds Retirement Plan Withdrawals
 Business Proceeds Others, please specify:

*19. Source of Wealth Savings Investment Retirement
 Business Others, please specify:

*20. Purpose of Transaction

*21. Relationship to Principal Applicant

D. POLITICALLY EXPOSED PERSON (PEP) or POLITICALLY EXPOSED CORPORATION (PEC) (IF APPLICABLE)

Please indicate whether beneficial owner, shareholders, directors and/or management in the applicant's company is a politically exposed person.

Individual PEPs		Yes *	No	Not Applicable	Remarks
1	Foreign PEPs Refers to individuals who are or who have been entrusted with prominent public functions by a foreign country. For example, member of the royal family, head of federal government/state government ,senior politician/ senior official of a political party, senior management of government, senior officials of judicial/military/law enforcement agency, director/senior management of a state/government owned/linked cooperation				
2	Domestic PEPs Domestic PEPs refers individuals who are or have been entrusted domestically with prominent public functions. For example, member of the royal family, head of federal government/state government ,senior politician/ senior official of a political party, senior management of government, senior officials of judicial/military/law enforcement agency, director/senior management of a state/government owned/linked cooperation				
3	Person entrusted with a prominent function by an international organisation Refers to members of senior management for example, directors, deputy directors and members of the board or equivalent functions.				

Politically Exposed Corporation (PEC)		Yes *	No	Not Applicable	Remarks
1	Where PEP has:- i. Controls 25% or more shares or voting rights; ii. Executive controls; iii. Significant control/influence				
2	Where a government ownership in the Government Link Companies (GLC) is 50% and more				

* Please fill in the Politically Exposed Persons Declaration Form.

Notes:

- The requirements for PEPs are applicable to family members or close associates of all types of PEPs.
- A family member will include PEP's direct family members including spouse, child (including biological and non-biological child), parents (including biological and non-biological parents), spouse's parents (including biological and non-biological parents), or siblings (including biological and non-biological siblings).
- Close associates are individuals who have joint beneficial ownership of a legal person or legal arrangement with PEP or individuals reasonable known to the reporting institution (RI) to be closely connected to a PEP.
- Beneficial owner (BO) in relation to a non-individual relationship means the natural person who ultimately owns or controls a customer or the person on whose behalf a transaction is being conducted and includes the person exercises ultimate effective control over a legal person or legal arrangement.

E. UNIT TRUST LOAN FINANCING RISK DISCLOSURE STATEMENT (IF APPLICABLE)

Investing in a Unit Trust Fund with Borrowed Money Is More Risky than Investing with Your Own Savings

You should assess if loan financing is suitable for you in light of your objectives, attitude to risk and financial circumstances. You should be aware of the risks, which would include the following:

- 1.The higher the margin of financing (that is, the amount of money you borrow for every ringgit of your own money which you put in as deposit or down payment),the greater the loss or gain on your investment.
- 2.You should assess whether you have the ability to service the repayments on the proposed loan. If your loan is a variable rate loan, and if interest rates rise, your total repayment amount will be increased.
- 3.If unit prices fall beyond a certain level, you may be asked to provide additional acceptable collateral (where units are used as collateral) or pay additional amounts on top of your normal instalments. If you fail to comply within the time prescribed, your units may be sold towards the settlement of your loan.
- 4>Returns on unit trusts are not guaranteed and may not be earned evenly over time. This means that there may be some years where returns are high and other years where losses are experienced. Whether you eventually realise a gain or loss may be affected by the timing of the sale of your units. The value of units may fall just when you want your money back even though the investment may have done well in the past.

This brief statement cannot disclose all the risks and other aspects of loan financing. You should therefore carefully study the terms and conditions before you decide to take a loan. If you are in doubt about any aspect of this risk disclosure statement or the terms of the loan financing, you should consult the institution offering the loan.

Acknowledgement of Receipt of Risk Disclosure Statement

I/We acknowledge that I/we have received a copy of this Unit Trust Loan Financing Risk Disclosure Statement and understand its contents.

Authorised Signatory with Company Rubber Stamp

Name of Authorised Signatory :

Authorised Signatory with Company Rubber Stamp

Name of Authorised Signatory :

Date

Date

Note: If the Applicant has more than one Beneficial Owner, please fill in an additional form for each Beneficial Owner.

F. BANK ACCOUNT DETAILS

For Income Distribution / Redemption Payment

- *1. Bank
- *2. Branch
- *3. Account Name
- *4. Type of Account Current Saving
- *5. Account Number

G. ADDITIONAL INFORMATION

1. Do you wish to apply for the following facilities?

- a) Al-Syamil Facility Yes No
- b) Fascimile Instruction Service Yes No
- c) Others : _____ Yes No

Note: For those who tick "Yes", you agree to be bound by the terms and condition of the facility stipulated in the relevant prospectus / information memorandum or replacement prospectus / information memorandum and its supplementary(ies) (if any).

H. INVESTOR'S DECLARATION

I/We acknowledge that neither AmFunds Management Berhad / Amlslamic Funds Management Sdn Bhd nor any other member company of the AmBank Group guarantees any particular returns for the investment.

I/We acknowledged receipt and declare that I/we have read and understood the contents of the relevant prospectus / information memorandum or replacement prospectus / information memorandum and its supplementary(ies) (if any) before completing and signing this application. I/We agree to abide by the terms and conditions in the Account Opening Form.

At my/our discretion, I/we have decided to invest in the fund(s) selected on this date. I/We have been informed and hereby fully understand the associated products risks and returns, and the fund(s) selected above may be of higher risk than my/our profiled risk-taking ability.

I/We hereby acknowledge that I/we shall keep AmFunds Management Berhad / Amlslamic Funds Management Sdn Bhd informed of any changes to my/our particulars as stated.

I/We hereby agree to be bound by the provision of the deed's, supplemental deed's, relevant prospectus / information memorandum or replacement prospectus / information memorandum and its supplementary(ies) (if any), Account Opening Form and any facility offered by AmFunds Management Berhad / Amlslamic Funds Management Sdn Bhd on becoming a Unit Holder.

I/We hereby acknowledge that AmFunds Management Berhad / Amlslamic Funds Management Sdn Bhd has the right to reject an application at its absolute discretion without any reason.

I/We hereby acknowledge of the fee(s) and charge(s) that I/We will incur directly or indirectly by investing into the fund(s) as provided in the relevant prospectus / information memorandum or replacement prospectus / information memorandum and its supplementary(ies) (if any).

I/We agree that all fees, charges and expenses disclose in the relevant prospectus / information memorandum or replacement prospectus / information memorandum and its supplementary(ies) (if any) are expressed on a Goods and Services Tax (GST) - exclusive basis. Accordingly, to the extent that services provided are subject to the amount of GST payable on any related fees, charges and/or expenses will be payable by me/us and/or the Fund (as the case may be) in addition to the fees, charges and expenses disclosed in the relevant prospectus / information memorandum or replacement prospectus / information memorandum and its supplementary(ies) (if any).

Anti-Money Laundering, Anti-Terrorism Financing and Proceeds of Unlawful Activities Act 2001 (AMLATFPUAA)

I/We agree that I/we shall at all times adhere to and comply with the Anti-Money Laundering, Anti-Terrorism Financing and Proceeds of Unlawful Activities Act 2001 (AMLATFPUAA) with respect to all transactions or matters whatsoever and however arising whether directly or indirectly with AmFunds Management Berhad / Amlslamic Funds Management Sdn Bhd, and in particular :

- a. AmFunds Management Berhad / Amlslamic Funds Management Sdn Bhd shall be entitled to keep records of all my/our transactions and to further disclose any transaction pertaining to me/us, as may be required by law or any government or regulatory authority for purposes of compliance with AMLATFPUAA;
- b. undertake to provide AmFunds Management Berhad / Amlslamic Funds Management Sdn Bhd with all relevant information and documents, as and when requested, for purposes of identification of the client and verification of the source of funds under the "Know-Your-Customer" principle; and /
- c. *I/We further confirm that all monies paid and to be paid to AmFunds Management Berhad / Amlslamic Funds Management Sdn Bhd come from lawful source and not from unlawful activities. /*

Privacy Notice & Disclosure of Information

Customers are advised to read AmFunds Management Berhad's / Amlslamic Funds Management Sdn Bhd's latest/updated Privacy Notice available at www.aminvest.com and AmBank Group Privacy Notice which is available at AmBank Group website at www.ambankgroup.com and the Bank branches. Our Privacy Notice may be revised from time to time and if there is/are any revision(s), it will be posted on our website and/or other means of communication deemed suitable by us.

I/We have read and understood the AmFunds Management Berhad's / Amlslamic Funds Management Sdn Bhd's Privacy Notice, which is available at www.aminvest.com and AmFunds Management Berhad's / Amlslamic Funds Management Sdn Bhd's office and AmBank Group Privacy Notice which is available at AmBank Group website at www.ambankgroup.com and the Bank branches.

I / We understand that AmFunds Management Berhad / Amlslamic Funds Management Sdn Bhd may disclose my/our personal information and / or any information relating to my / our AmFunds Management Berhad's / Amlslamic Funds Management Sdn Bhd's account to AMMB Holdings Berhad and its related group of companies including its direct and indirect subsidiaries for marketing and promotion of products and services. If I/We decide later not to receive marketing information from AMMB Holdings Berhad and its related group of companies including its direct and indirect subsidiaries, I/We will write in to 9th Floor, Bangunan AmBank Group, 55, Jalan Raja Chulan, 50200 Kuala Lumpur to inform AmFunds Management Berhad / Amlslamic Funds Management Sdn Bhd.

DISCLAIMERS :

We recommend that the client reads and understands the contents of the relevant fund's prospectus / information memorandum or replacement prospectus / information memorandum and its supplementary (ies) (if any) before investing.

Unit prices or income distribution, if any, may rise or fall. Past performance of a fund is not indicative of future performance. Please consider the fees and charges involved before investing.

Authorised Signatory with Company Rubber Stamp

Name of Authorised Signatory :

Date :

Authorised Signatory with Company Rubber Stamp

Name of Authorised Signatory :

Date :

I. REVIEW AND APPROVAL

Recommended by:

Name :
Designation :
Date :

Signature

J. FOR DISTRIBUTOR USE ONLY

Consultant / Code / Branch	Consultant Name / Date	Verified / Approved by / Date

K. FOR OFFICE USE ONLY

Received by / Date	Verified by / Date	Input by / Date	Checked by / Date

Remark (if any)