

## FOREIGN ACCOUNT TAX COMPLIANCE ACT (FATCA) SELF-CERTIFICATION BY INDIVIDUAL/ ENTITY

(The information in this section is collected in order to comply with FATCA requirements which require AmBank Group to identify and report United States (U.S.) persons)

### PART A: FOR INDIVIDUAL

<b>Identifying Specified/Potential U.S. Person</b>					
<i>(To be completed by the customer - please tick ("✓") in the "Yes" / "No" column respectively for each of the following questions)</i>					
No.	U.S. Indicia Status	Account Holder 1		Account Holder 2	
		Yes	No	Yes	No
1.	Are you a U.S. citizen or resident (including green card holder)?				
2.	Is U.S. your country of birth?				
3.	Do you hold a current U.S. residence address or mailing address (including a U.S. post office box)?				
4.	Do you have a current U.S. telephone number?				
5.	Do you currently maintain any standing instructions to the U.S.?				
6.	Do you currently assign power of attorney or signatory authority to a person within the U.S.?				
7.	Do you currently have a 'hold mail' or 'in care of' address as your sole address?				

Definition

The term U.S. person means a person described in section 7701(a)(30) of the Internal Revenue Code:

(A) a citizen or resident of the U.S.

### PART B: FOR ENTITY

<b>General Information</b>	
Country of Incorporation/Registration	
Global Intermediary Identification Number (GIIN)*	
EIN (for U.S. Branches only)	

\* GIIN is required for Direct Reporting NFFE, Sponsored Direct Reporting NFFE, Foreign Financial Institution (FFI) and a trustee of a trust

<b>Identifying U.S. Reportable Accounts</b>	
<i>(To be completed by all types of entities - please tick ("✓") where applicable)</i>	
a) A Specified U.S. Person <sup>1</sup>	<input type="checkbox"/>
b) A Passive Non-Financial Foreign Entity (NFFE) with one or more Controlling Persons who are Substantial U.S. Owners <sup>2</sup>	<input type="checkbox"/>
c) A Non-Participating Foreign Financial Institution (NPFPI) <sup>3</sup>	<input type="checkbox"/>

Definition

<sup>1</sup>

- An individual who is a U.S. citizen or U.S. resident,
- A partnership, corporation, company, or association created or organized in the U.S. or under the laws of the U.S.,
- An estate (other than a foreign estate), or
- A domestic trust (as defined in Regulations section 301.7701-7 of the Internal Revenue Code).

<sup>2</sup>

- Owns, directly or indirectly, more than 10% (by vote or value) of the stock of any foreign corporation;
- Owns, directly or indirectly, more than 10% of the profits or capital interests in a foreign partnership;
- Is treated as an owner of any portion of a foreign trust under sections 671 through 679; or
- Holds, directly or indirectly, more than a 10% beneficial interest in a trust.

<sup>3</sup>

- A Foreign Financial Institution that does not enter into an agreement with the U.S. IRS.

**Declaration**

I/We represent and declare that the information provided above is true, accurate and complete and the submitted documents are genuine and duly executed.

I/We hereby consent for AmBank (M) Berhad or any of its related companies, including branches (collectively “AmBank Group”) to report my information to regulatory authorities in accordance with the requirements of FATCA as may be stipulated by applicable laws, regulations, agreement or regulatory guidelines or directives.

I/We undertake to notify AmBank Group in writing within 30 calendar days if there is a change in any information which I/we have provided to AmBank Group, status or in the event I/we become U.S. person. I/We hereby consent that AmBank Group may terminate my/our account(s) and/or facilities granted to me/us, in the event I/we become U.S. person.

I/We hereby acknowledge and agree that AmBank Group may classify me as a recalcitrant account holder or NPFFI and/or suspend, recall or terminate my/our account(s) and/or facilities granted to me/us, in the event I/we fail to provide accurate and complete information and/or documentation as AmBank Group may require.

Authorised Signature 1 :	<input type="text"/>
Name :	<input type="text"/>
Designation :	<input type="text"/>
Date :	<input type="text"/>

Authorised Signature 2 :	<input type="text"/>
Name :	<input type="text"/>
Designation :	<input type="text"/>
Date :	<input type="text"/>

**For Bank Use**

I declare that the required account opening checks have been performed on the above customer.

Employee Name :	<input type="text"/>
Employee ID :	<input type="text"/>

Employee Signature :	<input type="text"/>
Date :	<input type="text"/>